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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

14 TROVE BRANDS, LLC d/b/a THE
15 BLENDERBOTTLE COMPANY, a Utah
16 limited liability company,
17 Plaintiff,
18 v.
19 TRRS MAGNATE LLC d/b/a HYDRA
CUP,
20 Defendant.
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1 Pursuant to the Court’s May 10, 2023, Scheduling Order, Plaintiff Trove Brands,
 2 LLC (“BlenderBottle”) hereby submits this memorandum on the construction of the
 3 design patent claims asserted in this case.

4 **I. INTRODUCTION**

5 BlenderBottle brought this action after discovering that Defendant TRRS
 6 Magnate LLC (“Hydra Cup”) had slavishly copied BlenderBottle’s iconic design for
 7 shaker bottles. Shaker bottles, commonly known as “shakers,” are beverage containers
 8 for mixing and consuming powders and liquids. Hydra Cup’s blatant copying of
 9 BlenderBottle’s shaker design infringes BlenderBottle’s design patents, violates
 10 BlenderBottle’s trade dress rights, and transgresses state unfair competition laws.

11 BlenderBottle has asserted three design patent claims in this action, one from
 12 each of the three asserted design patents. As is common in design patents, the asserted
 13 claims have almost no verbal content. They simply define the metes and bounds of the
 14 claimed ornamental design by pointing to the illustrations in the patents.

15 Hydra Cup has not revealed what claim constructions it intends to request from
 16 the Court. However, the Court should not construe the asserted claims by attempting to
 17 craft detailed written descriptions of the patented designs. The Federal Circuit has
 18 warned that doing so risks improperly emphasizing certain aspects of the claimed
 19 ornamental design when, in fact, a design patent claim covers the patented design as a
 20 whole. Mindful of this risk, many federal courts have eschewed verbal characterizations
 21 and construed design patent claims by pointing to the figures of the patent. That
 22 approach is appropriate here.

23 Hydra Cup has asked the Court to “limit[] the scope of the design patents to non-
 24 functional features as well as features not claimed by prior art.” Dkt. 23 at 5. However,
 25 the Federal Circuit has flatly rejected the notion that courts should exclude features from
 26 a claim construction merely because they perform a function or appear in the prior art.
 27 Rather, the court has stated that district courts “may” guide the jury’s infringement
 28 analysis by discussing the functionality of the claimed design or the prior art. But, as

1 numerous district courts have concluded, this guidance should be provided in jury
2 instructions, not through a claim construction order early in the case. This is especially
3 true where, as here, the record is insufficiently developed to assess the defendant's
4 functionality defense. Accordingly, the Court should defer providing guidance to the
5 jury on functionality and the prior art until it prepares its jury instructions.

6 **II. FACTUAL BACKGROUND**

7 **A. BlenderBottle And Its Patented Designs**

8 BlenderBottle is a pioneer in the shaker bottle industry. BlenderBottle has been
9 developing and selling shakers for over twenty years. BlenderBottle's visually
10 appealing shakers are wildly popular with outdoor enthusiasts, gym goers, and serious
11 protein drinkers. They are sold in more than 90 countries worldwide and in over 60,000
12 retail locations, including in major retail chains such as Costco, Sam's Club, Target,
13 Walmart, GNC, Amazon, Dick's Sporting Goods, and Vitamin Shoppe.
14 BlenderBottle's shakers have been lauded by consumers and the media, including *Good*
15 *Morning America*, *Reader's Digest*, *Self*, *the Today Show*, *Healthy Living*, *Better Homes*
16 & *Gardens*, *Muscle & Fitness*, *Shape*, *Good Housekeeping* and *Men's Fitness*.
17 Celebrities such as Justin Bieber, Hugh Jackman, Scarlett Johansson, Shia LaBeouf, and
18 Terry Crews have been photographed using BlenderBottle shakers.

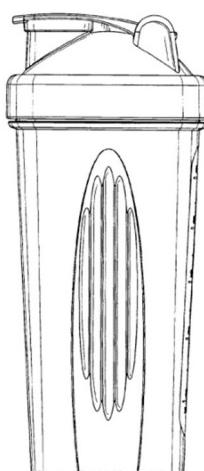
19 The United States Patent and Trademark Office ("USPTO") has granted
20 BlenderBottle multiple design patents for its innovative shaker designs. At issue in this
21 case are United States Design Patent No. D510,235 (the "D235 Patent"), which issued
22 on October 4, 2005; United States Design Patent No. D696,551 (the "D551 Patent"),
23 which issued on December 31, 2013; and United States Design Patent No. D697,798
24 (the "D798 Patent"), which issued on January 21, 2014. Exemplary figures from these
25 design patents are shown below.

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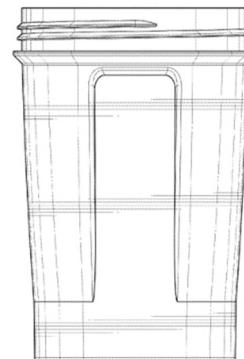
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D235 Patent



D551 Patent



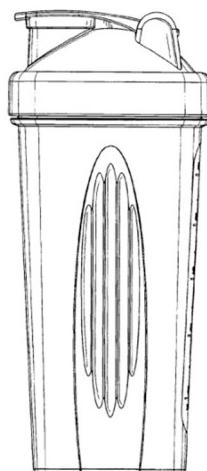
D798 Patent

9 Declaration of Jacob R. Rosenbaum (“Rosenbaum Decl.”) Exs. 4–6.¹

10
11 **B. Hydra Cup And Its Infringing Products**

12 Defendant Hydra Cup sells shakers that look nearly identical to BlenderBottle’s
13 iconic shaker designs. For example, images of Hydra Cup shakers are shown below
14 next to figures from the D235 Patent and D551 Patent.

15



D235 Patent



Hydra Cup



Hydra Cup



Hydra Cup

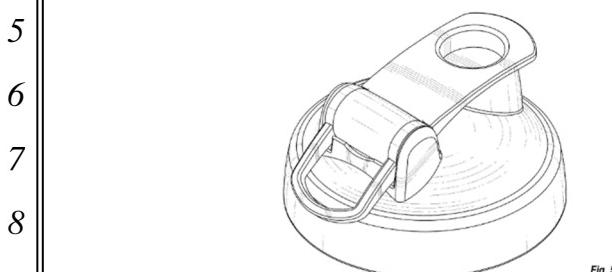
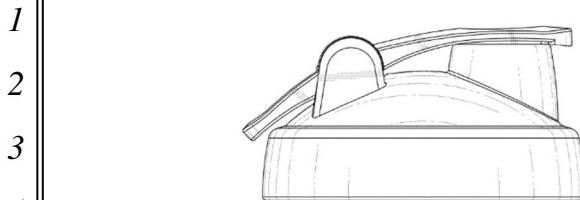
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¹ All numbered exhibits are attached to the Declaration of Jacob Rosenbaum (“Rosenbaum Decl.”).

28



10 **D551 Patent Lid**

10 **Hydra Cup Lid**

11 Rosenbaum Decl. ¶¶ 3–8, Exs. 1–6. The incredible similarity between the parties' shaker bottles is all the more striking because the marketplace contains a great diversity 12 of shaker bottle designs. A selection of third-party shakers is presented below. *Id.* 13 ¶¶ 29–44, Exs. 17–24.



28 **Third-Party Shakers**

C. Procedural History

After BlenderBottle learned that Hydra Cup was infringing its design-patent and trade-dress rights by selling shaker products almost identical to BlenderBottle’s shakers, BlenderBottle sent Hydra Cup a series of cease-and-desist letters. *Id.* ¶ 2, Exs. 1–3. BlenderBottle’s attempts to resolve the parties’ dispute without litigation were unsuccessful, and BlenderBottle was forced to commence the present action on December 14, 2022. Dkt. 1.

On April 25, 2023, the parties filed their joint report pursuant to Federal Rule of Civil Procedure 26(f). In that report, Hydra Cup requested a claim construction hearing and briefing schedule “to determine the meaning and scope of the asserted design patents, including by limiting the scope of the design patents to non-functional features as well as features not claimed by prior art.” Dkt. 23 at 5. However, Hydra Cup did not identify the claim constructions it intends to seek from the Court. *Id.* at 4–6. As of the filing of this memorandum, Hydra Cup still has not revealed what constructions it wishes the Court to adopt. Moreover, notwithstanding BlenderBottle’s interrogatories and its repeated requests for substantive responses to those interrogatories, Hydra Cup has only pointed to components of the design and dismissed them as “functional.” Hydra Cup has not disclosed what functions it contends are performed by particular features, or where in the prior art features of the patented design may be found. Rosenbaum Decl. ¶¶ 9–27.

III. ARGUMENT

A. The Court Should Construe The Asserted Claims By Referring To The Patent Figures

Although Hydra Cup has not identified the claim constructions it seeks, it is clearly inviting the Court to prepare a verbal construction that “limit[s] the scope of the design patents” by describing which features are encompassed by the claim and which are not. Dkt. 23 at 5. The Court should decline the invitation.

111

1 In its seminal decision in *Egyptian Goddess, Inc. v. Swisa, Inc.*, 543 F.3d 665
 2 (Fed. Cir. 2008) (*en banc*), the Federal Circuit warned of the risks of trying to describe
 3 a patented design with words:

4 As the Supreme Court has recognized, a design is better represented by an
 5 illustration “than it could be by any description and a description would
 6 probably not be intelligible without the illustration.” *Dobson v. Dornan*,
 7 118 U.S. 10, 14, 6 S.Ct. 946, 30 L.Ed. 63 (1886). The Patent and
 8 Trademark Office has made the same observation. *Manual of Patent
 Examining Procedure* § 1503.01 (8th ed. 2006) (“[A]s a rule the
 9 illustration in the drawing views is its own best description.”). Given the
 10 recognized difficulties entailed in trying to describe a design in words, the
 11 preferable course ordinarily will be for a district court not to attempt to
 12 “construe” a design patent claim by providing a detailed verbal description
 13 of the claimed design.

14 *Id.* at 679.

15 The court also emphasized “the risks entailed in such a description, such as the
 16 risk of placing undue emphasis on particular features of the design and the risk that a
 17 finder of fact will focus on each individual described feature in the verbal description
 18 rather than on the design as a whole.” *Id.* at 680. A construction that unduly emphasizes
 19 particular features of the claimed design, rather than the patented design as a whole, is
 20 legally erroneous and must be reversed. *See Crocs, Inc. v. Int'l Trade Comm'n*, 598
 21 F.3d 1294, 1302–03 (Fed. Cir. 2010) (“This case shows the dangers of reliance on a
 22 detailed verbal claim construction. The claim construction focused on particular features
 23 of the '789 patent design and led the administrative judge and the Commission away
 from consideration of the design as a whole.”).

24 Aware of the risks inherent in any written description of the claimed design,
 25 district courts routinely decline to construe design patent claims or simply construe them
 26 by referring to the figures of the patent. *See, e.g., Vertical Tank, Inc. v. BakerCorp*,
 27 2019 WL 2207668, at *20 (E.D. Cal. May 22, 2019) (construing the claims by referring
 28 to the patents’ figures); *Blackberry Ltd. v. Typo Prod. LLC*, 2014 WL 6603126, at *6

1 (N.D. Cal. Nov. 20, 2014) (declining to construe the claim verbally); *Hutzler Mfg. Co.*
 2 v. *Bradshaw Int'l, Inc.*, 2012 WL 3031150, at *5 (S.D.N.Y. July 25, 2012) (“The Court
 3 construes Hutzler’s claims as the ornamental design for an onion container as shown in
 4 figures 1 through 3 of the ’114 Patent”); *180s, Inc. v. Gordini U.S.A., Inc.*, 699 F. Supp.
 5 2d 714, 728–30 (D. Md. 2010) (“I construe the ’001 design patent claim as simply the
 6 seven figures included in the patent. A verbal construction is unnecessary as these
 7 illustrative figures speak for themselves.”); *HR U.S. LLC v. Mizco Int'l, Inc.*, 2009 WL
 8 890550, at *9 (E.D.N.Y. Mar. 31, 2009); *Mondo Polymers Techs., Inc. v. Monroeville*
 9 *Indus. Moldings, Inc.*, 2009 WL 230123, at *1–2 (S.D. Ohio Jan. 30, 2009); *Dexas Int'l,*
 10 *Ltd. v. Off. Max Inc.*, 2009 WL 252164, at *4–5 (E.D. Tex. Jan. 30, 2009).

11 This Court should likewise decline to construe the asserted claims with verbal
 12 descriptions and instead simply refer to the illustrations in the patents’ figures. Thus,
 13 for example, the Court should construe the claim of the D235 Patent as “The ornamental
 14 design for a bottle, as shown in Figures 1–7 of the patent.” *See Ex. 4.* The claim of the
 15 D551 Patent should be construed as “The ornamental design for a bottle lid with an
 16 integrated handle, as shown in Figures 1–6 of the patent.” *See Ex. 5.* And the claim of
 17 the D798 Patent should be construed as “The ornamental design for a container, as
 18 shown in Figures 1–7 of the patent.” *See Ex. 6.*

19 Hydra Cup’s proposal to “limit[] the scope of the design patents to non-
 20 functional features” is contrary to law. A functional feature may be designed with
 21 ornamental aspects or contribute to the overall ornamental visual impression produced
 22 by the patented design. *See Ethicon Endo-Surgery, Inc. v. Covidien, Inc.*, 796 F.3d
 23 1312, 1333 (Fed. Cir. 2015); *Richardson v. Stanley Works, Inc.*, 597 F.3d 1288, 1293–
 24 94 (Fed. Cir. 2010) (functional elements of a multi-tool had protectable ornamental
 25 aspects, such as the diamond-shaped flare of the crowbar); *OddzOn Prods., Inc. v. Just*
 26 *Toys, Inc.*, 122 F.3d 1396, 1405 (Fed. Cir. 1997) (functional tail fins contributed to a
 27 ball’s ornamental, rocket-like appearance). Accordingly, it is error to exclude features
 28 from the scope of a design patent claim merely because they serve a functional purpose.

1 *Sport Dimension, Inc. v. Coleman Co.*, 820 F.3d 1316, 1321 (Fed. Cir. 2016). Doing so
 2 “improperly convert[s] the claim scope of the design patent from one that covers the
 3 overall ornamentation to one that covers individual elements.” *Id.* at 1322.

4 In sum, the Court should adopt the “preferable course” and decline to construe
 5 the asserted patent claims with written descriptions of the patented designs. The Court
 6 should instead construe the claims by referring to the patents’ illustrations.

7 **B. The Court Should Defer Analyzing Functionality And The Prior Art Until**
 8 **The Jury Instruction Stage**

9 Hydra Cup has urged the Court to identify aspects of the patented designs that
 10 are functional or that appear in the prior art. For the reasons discussed below, if the
 11 Court elects to analyze functionality or the prior art, it should do so in connection with
 12 preparing the jury instructions in this case.

13 **1. The Court may address functionality and the prior art in its jury**
 14 **instructions.**

15 The Federal Circuit explained in *Egyptian Goddess* that, while the “preferable
 16 course” is to decline to construe design patent claims with verbal descriptions, a district
 17 court can guide the fact finder in understanding the prior art and the functional aspects
 18 of the patented design. *Egyptian Goddess*, 543 F.3d at 679–680. The court stated:

19 While it may be unwise to attempt a full description of the claimed design,
 20 a court ***may find it helpful*** to point out, ***either for a jury or in the case of***
 21 ***a bench trial*** by way of describing the court’s own analysis, various
 22 features of the claimed design as they relate to the accused design and the
 23 prior art. ... Apart from attempting to provide a verbal description of the
 24 design, ***a trial court can usefully guide the finder of fact*** by addressing
 25 a number of other issues that bear on the scope of the claim. Those include
 26 such matters as describing the role of particular conventions in design
 27 patent drafting, such as the role of broken lines; assessing and describing
 28 the effect of any representations that may have been made in the course
 of the prosecution history; and distinguishing between those features of
 the claimed design that are ornamental and those that are purely
 functional....

1 *Id.* at 680 (citations omitted) (emphasis added).

2 As the emphasized text shows, the Federal Circuit advised district courts that
 3 they “may find it helpful” to guide “a jury” or “the finder of fact” in considering a
 4 number of matters, including the relationship between features of the patented design
 5 and the prior art, and the distinction between ornamental features and purely functional
 6 features of the patented design. *Id.* Because the aim is to assist the jury, the logical
 7 time to perform such an analysis is during preparation of the jury instructions. Indeed,
 8 district courts routinely do just that. *See, e.g., Nike, Inc. v. Skechers U.S.A., Inc.*, 2019
 9 WL 12528983, at *8 (C.D. Cal. Mar. 28, 2019) (declining to construe the claims, but
 10 stating that it “may, ultimately, be appropriate to guide jurors about the scope of the
 11 claim in other ways” such as in jury instructions addressing functionality); *Focus Prod.*
 12 *Grp. Int'l, LLC v. Kartri Sales Co., Inc.*, 2018 WL 3773986, at *15 (S.D.N.Y. Aug. 9,
 13 2018) (inviting the parties to address “whether the Court should take up the
 14 functional/nonfunctional analysis at summary judgment or in pretrial briefing
 15 concerning jury instructions”); *Deckers Outdoor Corp. v. Rue Servs. Corp.*, 2014 WL
 16 12588481, at *3 (C.D. Cal. Aug. 29, 2014) (“At the appropriate time, the Court will
 17 provide instructions to guide the jury in making [the functionality] determination.”);
 18 *180s, Inc. v. Gordini U.S.A., Inc.*, 699 F. Supp. 2d 714, 728–30 (D. Md. 2010); *Dexas*
 19 *Int'l, Ltd. v. Off. Max Inc.*, 2009 WL 252164, at *4–5 (E.D. Tex. Jan. 30, 2009). Thus,
 20 if this Court is inclined to address functionality or the prior art, it should do so at the
 21 jury-instruction stage of the case.

22 **2. Deferring addressing functionality is particularly appropriate where**
 23 **the factual record is incomplete.**

24 Deferring analyzing functionality is particularly appropriate where the factual
 25 record at the claim construction stage insufficiently developed to allow the Court to
 26 resolve the many fact issues involved in an assessment of functionality. *See Nike*, 2019
 27 WL 12528983, at *8; *Vertical Tank*, 2019 WL 2207668, at *19–20.

28 ///

1 In *Nike*, the parties disputed whether the “toe spring” in Nike’s patented shoe
 2 design was purely functional or whether its specific implementation in the Nike design
 3 was also ornamental. *Nike*, 2019 WL 12528983, at *6-7. However, as Skechers first
 4 raised the issue in its responsive brief, Nike was unable to address it. The court
 5 concluded that the “record is not sufficiently developed regarding the parties’ specific
 6 disputes as to whether the ‘toe springs’ of the Asserted Patents are purely functional.
 7 To the extent the dispute continues and is relevant to the issue for trial, it is something
 8 best addressed through proposed jury instructions.” *Id.* at *7.

9 As in *Nike*, the record in the present case is too undeveloped for the Court to
 10 determine which aspects of the patented designs are functional. Indeed, Hydra Cup has
 11 not even correlated specific aspects of the patented design with specific functions that
 12 those aspects allegedly perform. For example, Hydra Cup states in conclusory fashion
 13 that the “functional aspects of the design include” the “cylindrical cup.” Ex. 7, 21:21–
 14 24. But Hydra Cup never explains what function the cylindrical cup allegedly performs.
 15 *See generally id.* Does the alleged function relate to the mixing process? Or perhaps to
 16 the consumer’s ability to hold the shaker? Hydra Cup never explains its position. And
 17 while BlenderBottle has asked for a more meaningful response, Hydra Cup has simply
 18 flouted BlenderBottle’s interrogatory and its repeated requests for a substantive
 19 response. Rosenbaum Decl. ¶¶ 11–27. As a result, the parties have conducted no
 20 meaningful discovery on Hydra Cup’s still-undisclosed functionality defense. To say
 21 the record is undeveloped is therefore a gross understatement.

22 Hydra Cup itself has argued it is too early in the case to substantively address
 23 issues like functionality. Just three weeks ago, Hydra Cup provided the following third
 24 supplemental response to BlenderBottle’s first set of interrogatories:

25 Hydra Cup further responds to the Interrogatory as follows: Hydra Cup
 26 is diligently working on its Contentions and Disclosure that will properly
 27 answer this interrogatory, there are so many claims, defenses, and issues
 28 in this case that it is taking extra time to properly prepare the contentions

1 and disclosure[s]. Additionally, both parties are just now producing their
 2 responsive documents to the respective opposing side, so Hydra Cup
 3 needs time to update its ongoing draft of its Contentions and Disclosures
 4 with the new information learned from BlenderBottle's produced
 5 documents. Hydra Cup reserves the right to supplement its Response as
 discovery is in its early stages and ongoing.

6 Ex. 16; Rosenbaum Decl. ¶ 26. Thus, just three weeks ago, Hydra Cup was unwilling
 7 to disclose its contentions on validity issues – such as what functions are allegedly
 8 performed by particular features of the patented designs – because “discovery is in its
 9 early stages.” *Id.* This confirms that construing the claims now, based on the current
 10 undeveloped record, would be premature.

11 If the Court elects to analyze the functionality of BlenderBottle’s patented
 12 designs, it should do so in connection with preparing jury instructions. By then, Hydra
 13 Cup presumably will have revealed its position on functionality, discovery will be
 14 complete, and the parties’ experts will have had an opportunity to review and analyze
 15 the evidence adduced in discovery. At present, as Hydra Cup itself observed, the case
 16 is still in its early stages. Discovery will close on May 30, 2024, the deadline to file
 17 dispositive motions is July 18, 2024, and no specific trial date has been set. Dkt. 29;
 18 Rosenbaum Decl. ¶ 28. “[W]ith no trial on the immediate horizon, there remains ample
 19 time to sort out what guidance, if any, this Court ought to provide a jury.” *Focus*, 2018
 20 WL 3773986, at *15; *see also* 180s, 699 F. Supp. 2d at 730 (because discovery was
 21 ongoing, “[t]here is therefore no rush to distinguish the claim’s ornamental and
 22 functional features”).

23 **IV. CONCLUSION**

24 For the foregoing reasons, the Court should decline to construe the asserted
 25 claims with a verbal description or analyze the functionality of those claims. The Court
 26 should instead construe the claims by referring to the figures in the patents, and should
 27 defer any analysis of the functionality issue until the jury-instruction stage.

28 ///

1 Respectfully submitted,

2 KNOBBE, MARTENS, OLSON & BEAR, LLP

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5 Dated: November 30, 2023

By: /s/ Jacob R. Rosenbaum

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7 Jacob R. Rosenbaum

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8 Counsel for Plaintiff TROVE BRANDS, LLC d/b/a
9 THE BLENDERBOTTLE COMPANY

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CERTIFICATE OF SERVICE

2 I am a citizen of the United States of America and I am employed in Irvine,
3 California. I am over the age of 18 and not a party to the within action. My business
4 address is 2040 Main Street, Fourteenth Floor, Irvine, California.

5 On November 30, 2023, I served **PLAINTIFF'S OPENING CLAIM**
6 **CONSTRUCTION BRIEF** on defendant TRRS Magnate LLC d/b/a Hydra Cup shown
7 below via EMAIL:

8
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I declare that I am employed in the office of a member of the bar of this Court at whose direction the service was made. I declare under penalty of perjury under the laws of the United States of America that the above is true and correct.

Executed on November 30, 2023, at San Diego, California.

/s/ *Estefania Munoz*

Estefania Munoz